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## Role of interleukin-4 receptor $\alpha$ polymorphism in patients with asthma and its correlation with asthma severity

Nidhi Girdhar,<sup>1</sup> Karan Sharma,<sup>2</sup> Satyajit Deshpande,<sup>3</sup> Siddharth Sharma,<sup>4</sup> Vishal Chopra,<sup>5</sup> Anil Sontakke<sup>1</sup>

<sup>1</sup>Department of Respiratory Medicine, N.K.P. Salve Institute of Medical Sciences and Research Center, Lata Mangeshkar Hospital, Nagpur, Maharashtra; <sup>2</sup>Department of Respiratory Medicine, Government Medical College, Sri Ganganagar, Rajasthan; <sup>3</sup>Department of Orthopedics, N.K.P. Salve Institute of Medical Sciences and Research Center, Lata Mangeshkar Hospital, Nagpur, Maharashtra; <sup>4</sup>Department of Biotechnology, Thapar Institute of Engineering and Technology, Patiala, Punjab; <sup>5</sup>Department of Respiratory Medicine, Government Medical College, Patiala, Punjab, India

### Abstract

Asthma is a heterogeneous disease characterized by chronic airway inflammation. It is defined by the history of respiratory symptoms such as wheeze, shortness of breath, chest tightness, and cough that vary over time and intensity, together with variable expiratory airflow limitation. A personal history or a family history of allergy is the factor most strongly associated with the development of asthma. Our primary aim was to investigate interleukin-4 receptor  $\alpha$  (IL-4R $\alpha$ ) polymorphism to determine whether the presence of the R576 IL-4R $\alpha$  allele was associated with asthma and whether the presence of the R576 allele influenced the severity of asthma in affected individuals. The data obtained indicated asthmatic patients were characterized by a higher prevalence of positive family history of asthma ( $p < 0.001$ ) as compared to controls. It was found that the patients homozygous for mutant alleles had a 1.39-fold increased risk of asthma compared with individuals not homozygous for R576. Also, we found that females had higher odds (1.61-fold) of significant association with asthma ( $p = 0.09$ ; odds ratio = 1.58). While this report clearly necessitates a more detailed study, it is plausible that IL-4 mutation has a significant role in the development of asthma and, thus, can play an important role in developing targeted therapy.

**Key words:** bronchial asthma, genetics, IL-4, IL-4 receptor, TH2 cytokine.

Correspondence to: Nidhi Girdhar, Department of Respiratory Medicine, N.K.P. Salve Institute of Medical Sciences and Research Center, Lata Mangeshkar Hospital, Nagpur, Maharashtra 440019, India.  
Tel.: 9612877727. E-mail: girdhar.nidhi23@gmail.com

### Introduction

Asthma is a heterogeneous disease characterized by chronic airway inflammation. It is defined by the history of respiratory symptoms such as wheeze, shortness of breath, chest tightness, and cough that vary over time and intensity, together with variable expiratory airflow limitation [1]. It affects 1-18% of the population in different countries [2]. These variations are triggered by factors such as exercise, allergens, or irritant exposure. Triggers may be allergic (e.g., house dust mites, cockroach residue, animal dander, mold, and pollens) or non-allergic (e.g., viral infections, exposure to tobacco smoke, cold air, exercise) stimuli [3]. The pathological process begins with the inhalation of an irritant (e.g., cold air) or an allergen (e.g., pollen), which then, due to bronchial hypersensitivity, leads to airway inflammation, infiltration of inflammatory leukocytes into the lung tissue, epithelial damage, tissue remodeling, and an increase in mucus production. Infiltrating leukocytes increase the inflammatory process; thus, some of these leukocytes release toxic reactive oxygen species into the surrounding tissue, resulting in increased oxidative stress and thus leading to a signif-

icant increase in airway resistance, which is most pronounced on expiration [4]. Symptoms and airflow limitation may resolve spontaneously or in response to medication, and may sometimes be absent for weeks or months at a time. On the other hand, patients can experience episodic flare-ups (exacerbations) of asthma that may be life-threatening and carry a significant burden to patients and the community. Even though environmental influences play an important role in the development of asthma, there is a strong genetic predisposition. Children who have one parent with asthma have about a 25% chance of developing asthma, and those whose mother and father both have asthma may have as high as a 50% risk of disease [5]. A personal history or a family history of allergy is the factor most strongly associated with the development of asthma. A number of genes and loci have been linked to asthma, such as interleukin(IL)-4, IL-4 receptor  $\alpha$  (IL-4R $\alpha$ ), HLA complex, and chromosomal regions on 5q31-33. Genes for the TH<sub>2</sub> cytokines IL-4, IL-5, IL-9 and IL-13 are also present on the locus 5q31-33. IL-4 is a key cytokine in the development of allergic inflammation. It plays a critical role in the regulation of IgE and also triggers the process of isotype switching of IgM to IgE [6]. Also, IL-4 acts on TH<sub>0</sub> cells and leads to their conversion to TH<sub>2</sub> cells, thus leading to



more production of IL-4 and other TH<sub>2</sub>-derived cytokines, ultimately leading to progression of the allergic cascade [7]. IL-4 exerts its action by interacting with its receptor IL-4R $\alpha$ , which is also the receptor of IL-13 [8]. Thus, upon stimulation of IL-4 or IL-13, Janus tyrosine kinase-1 and 3 are activated, followed by activation of the signal transducer and activator of transcription 6, which has a central role in IgE production. The central role played by the IL-4R $\alpha$  in regulating IL-4 and IL-13 responses makes it a likely candidate gene for atopy and asthma. Several single nucleotide polymorphisms (SNPs) have been identified in the *IL-4R $\alpha$*  gene, which result in amino acid substitutions and thus predispose to asthma [9]. One of these polymorphisms is Q576R, which consists of an A-to-G transition at nucleotide 1902, causing a change from glutamine to arginine at codon 576 (Q576R) in the cytoplasmic domain of the IL-4R $\alpha$  [10]. Studies have shown that allergic patients harboring the Q576R mutation have an enhanced CD23 induction in response to IL-4 in their B-lymphocytes and more chances of having the chronic inflammatory response and thus developing asthma [11]. Individuals who possess 1 or 2 copies of this allele had a significantly increased (9.3-fold) relative risk toward the atopic phenotype compared with the wild-type variant with glutamine at position 576 (Q576) [12]; however, the effect on asthma and its severity has not been evaluated. Due to the scarcity of information about combinations of genes in the Punjab population, we took asthmatics and the normal population and studied IL-4R $\alpha$  polymorphism to determine whether the presence of the R576 IL-4R $\alpha$  allele was associated with asthma and whether the presence of the R576 allele influenced the severity of asthma in affected individuals.

## Materials and Methods

### Subjects

A total of 103 cases and 103 controls were enrolled in the study who presented to the Department of Chest and Tuberculosis, Government Medical College and Rajindra Hospital, Patiala. Asthma was defined by a history of respiratory symptoms such as wheeze, shortness of breath, chest tightness, and cough that varied over time and intensity, together with variable expiratory airflow limitation. Those patients who fit the definition were subjected to spirometry with reversibility, along with clinical history and physical examination. Reversibility was defined as an increase or decrease in forced expiratory volume in 1 second of >12% and >200 mL from baseline. Patients were classified as mild, moderate, and severe asthma according to the Global Initiative for Asthma (GINA) 2020. Mild asthma was defined as asthma well controlled with as-needed inhaled corticosteroids (ICS)-formoterol alone, or with low-intensity maintenance controller treatment such as low-dose ICS, leukotriene receptor antagonists, or chromones. Moderate asthma was defined as asthma well controlled with low-dose ICS-long-acting  $\beta$ -agonists (LABA). Severe asthma was defined as asthma that required high-dose ICS-LABA to prevent it from becoming 'uncontrolled', or asthma that remained 'uncontrolled' despite this treatment. Controls were the healthy, unrelated volunteers. Informed consent was obtained from all participants in these studies.

### Q576R polymorphism

DNA was extracted from 5 mL of blood of both cases and controls. Briefly, blood was washed three times with 10 mM Tris-HCl (pH 8.0), 320 mM sucrose, 1% Triton X-100, and 5 mM MgCl<sub>2</sub> to

remove red cells. The resulting pellet was then resuspended in 400 mM Tris-HCl (pH 7.0), 150 mM NaCl, 60 mM EDTA, 1% SDS, and 100 mg/mL proteinase K and incubated at 42°C for 12-15 hours in an orbital shaker. Deproteinization was carried out by the addition of phenol/chloroform. After mixing and centrifugation for 2 minutes, the aqueous phase was then transferred to a fresh tube, and the DNA precipitated by the addition of an equal volume of isopropanol. DNA recovered was washed with ice-cold 70% ethanol and resuspended in Tris-EDTA buffer. DNA was genotyped for the IL-4RA\*Q576R polymorphism by means of restriction enzyme digestion. The region surrounding the polymorphism was amplified with the following primers: 5'-GCC CCC ACC AGT GGC TAC C-3' and 5'-GCC TTG TAA CCA GCC TCT CCT-3'. Polymerase chain reaction (PCR) was performed by using 1  $\mu$ mol/L of each primer. Amplification conditions were optimized; however, the PCR reaction was carried out at 94°C for 5 minutes, 80°C for the addition of the Taq DNA polymerase, and then 40 cycles of 94°C for 30 seconds, 55°C for 30 seconds, and 72°C for 1 second. The PCR product after amplification was 123 bp in length and was then digested with 2 U of MspI restriction enzyme. The digest product was then run on a 2% agarose gel, and the banding pattern was studied: 107- and 16-bp fragments from the \*Q576 allele and 89-, 18-, and 16-bp fragments from the \*576R allele.

### Statistics

The allele and genotype frequencies in patients with asthma were compared to a control non-asthmatic population. All the groups were tested for Hardy-Weinberg equilibrium using  $\chi^2$  analyses. The allele frequencies of the IL-4R polymorphisms between controls and patients were assessed using Pearson's two-tailed chi-square test or Fisher's exact test. A p-value <0.05 was considered to be statistically significant. Using logistic regression, odds ratios (ORs) and 95% confidence intervals (CIs) were calculated to evaluate the relationship of alleles.

## Results

To determine the role of the R576 allele in asthma, 103 cases of asthma were genotyped, and the results are summarized in Table 1. No significant group difference in height, weight, body mass index, marital status, or habitation between cases and controls was found. However, healthy examinees were 14% older than the examined asthma patients (p=0.010). At the same time, asthmatic patients were characterized by a higher prevalence of positive family history of asthma (p<0.001) as compared to controls.

The allele frequency of Q576 (wild allele) was found to be 62.13% and 65.05% among cases and controls, respectively. The allele frequency of R576 (mutant allele) was found to be 7.77% amongst cases and 5.82% amongst controls. Using the wild allele (QQ) as the reference genotype, it was observed that the mutant variant (RR) showed some association with susceptibility for asthma (p=0.55). It was found that the patients homozygous for mutant alleles had a 1.39-fold increased risk of asthma compared with individuals not homozygous for R576 (Table 2).

Our data exhibited that the homozygosity for the R576 allele increased the susceptibility towards asthma; thus, we also explored its relation with asthma susceptibility. Patients were classified as mild, moderate, or severe asthma according to GINA 2020. IL-4 576 allele polymorphism was assessed in these groups. When homozygosity for the R576 allele was compared homozygosity it



was found that individuals possessing 2 alleles of R576 had a 2-fold susceptibility towards asthma (OR=1.98; 95% CI=0.64-6.10;  $p=0.23$ ) (Table 3).

The R576 homozygous allele was found to be more associated with patients having a family history of asthma, and patients with this homozygous R576 allele had 2-fold increased susceptibility for asthma as compared to patients carrying the wild type genotype (OR=2.03, 95% CI=0.52-7.86) (Table 4).

We next analyzed whether the interaction of the SNP with gender could alter the risk of asthma. Q576 allele was seen in 56.7% (n=34/60) and 60% (n=36/60) among female asthmatics and con-

trols respectively. Out of 40 males, we studied the Q576 allele, which was found in 75% (n=30/40) and 77.5% (n=31/40) among male asthmatics and controls, respectively. When studied for homozygosity, the homozygous R576 allele was found in 10% (n=6/60) and 6.7% (n=4/60) amongst female asthmatics and controls, and amongst males homozygous R576 allele was found in 5% (n=2/20) amongst both male asthmatics and controls. Thus, we found that females had higher odds (1.61 fold) of significant association with asthma ( $p=0.09$  OR=1.58) (Table 5). However, as the sample size was smaller, further studies need to be done to find a definite association.

**Table 1.** Distribution of individuals (asthmatics and healthy subjects).

	Variables	Mild asthmatics	Moderate asthmatics	Severe asthmatics	Controls
Marital status, n (%)	Married	5 (71)	16 (84)	64 (83)	89 (86)
	Unmarried	2 (29)	3 (16)	13 (17)	14 (14)
Habitation, n (%)	Rural	1 (14)	8 (42)	28 (36)	40 (39)
	Urban	6 (86)	11 (58)	49 (64)	63 (61)
Smoking, n (%)	Yes	1 (14)	3 (16)	10 (13)	3 (3)
	No	6 (86)	16 (84)	67 (87)	100 (97)
Family history of asthma, n (%)	Yes	1 (14)	5 (26)	30 (39)	1 (1)
	No	6 (86)	14 (74)	47 (61)	102 (99)
Symptom duration	Years	6.2±10.5	7.5±8.8	12.5±12.2	
Asthma since childhood, n (%)	Yes	1 (14)	5 (26)	29 (38)	
	No	6 (86)	14 (74)	48 (62)	
Inhaler usage, n (%)	Yes	3 (43)	16 (84)	60 (78)	
	No	4 (57)	3 (16)	17 (22)	
Inhaler usage duration	Years	0.03±0.05	2.95±5.6	5.18±8.45	

**Table 2.** Frequency of R576 and Q576 IL-4R $\alpha$  alleles in asthmatics and controls.

Genotype (Q576R)	Cases (103), n (%)	Controls (103), n (%)	Crude OR	p
QQ	64 (62.13)	67 (65.05)	1.00 (reference)	
QR	31 (30.10)	30 (29.13)	1.08 (0.58-1.98)	0.78
RR	8 (7.77)	6 (5.82)	1.39 (0.45-4.24)	0.55
QR+RR	39	36	1.13 (0.64-2.00)	0.66

OR, odds ratio; QQ, wild allele; QR, heterozygous variant; RR, mutant variant.

**Table 3.** Effect of R576 and Q576 IL-4R $\alpha$  allelic variants on asthma severity.

Genotype (Q576R)	Cases (103)	Controls (103)	Crude OR	p
Severe				
QQ	45	67	1.00 (reference)	
QR	24	30	1.19 (0.61-2.29)	0.60
RR	8	6	1.98 (0.64-6.10)	0.23
QR+RR	32	36	1.32 (0.72-2.43)	0.36
Moderate				
QQ	13	67	1.00 (reference)	
QR	6	30	1.03 (0.36-2.97)	0.95
Mild				
QQ	6	67	1.00 (reference)	
QR	1	30		

OR, odds ratio; QQ, wild allele; QR, heterozygous variant; RR, mutant variant.



**Table 4.** Association of interleukin-4 polymorphism with family history of asthma.

Genotype (Q576R)	Cases (103)	Controls (103)	Crude OR	p
No				
QQ	42	67	1.00 (reference)	
QR	21	30	1.41 (0.57-2.20)	0.89
RR	4	6	1.08 (0.29-4.01)	0.89
QR+RR	25	36	1.13 (0.59-2.10)	0.69
Yes				
QQ	22	67	1.00 (reference)	
QR	10	30	1.01 (0.42-2.40)	0.97
RR	4	6	2.03 (0.52-7.86)	0.30
QR+RR	14	36	1.18 (0.45-2.59)	0.67

OR, odds ratio; QQ, wild allele; QR, heterozygous variant; RR, mutant variant.

**Table 5.** Association of interleukin-4 polymorphism with the risk of asthma on the basis of gender.

Genotype (Q576R)	Cases (103)	Controls (103)	Crude OR	p
Males				
QQ	30	31	1.00 (reference)	
QR	11	10	1.13 (0.42-3.06)	0.80
RR	2	2	1.03 (0.13-7.81)	0.97
QR+RR	13	12	1.11 (0.44-2.80)	0.81
Females				
QQ	34	36	1.00 (reference)	
QR	20	20	1.14 (0.55-2.37)	0.5
RR	6	4	1.58 (0.41-6.12)	0.09
QR+RR	26	24	1.05 (0.48-2.30)	0.88

OR, odds ratio; QQ, wild allele; QR, heterozygous variant; RR, mutant variant.

## Discussion

It is well known that asthma has a familial nature and is strongly associated with atopy; several genes are responsible for this. Three types of genes have been identified playing a role in the pathogenesis of asthma, which are susceptibility genes, disease-modifying genes, and drug-modifying genes. We studied the susceptibility gene 576R of IL-4R $\alpha$  in our study. As we know, IL-4R $\alpha$  is a vital element by which extracellular IL-4 and IL-13 cytokines signaling is transduced, which affects the immune response. This receptor stimulates the B lymphocytes and then leads to the production of IgE and IgG in response to allergens. The crucial role of IL-4R $\alpha$  in the pathogenesis of allergic disorders has driven multiple studies aiming toward the development of therapeutic compounds to modulate its function.

We studied the SNP Q576R and found that the mutant allele (RR) showed some association with susceptibility to asthma. The patients with both mutant alleles were 1.39 times more susceptible to the development of asthma than patients with the wild genotype (QQ). On the contrary, the cases that were carrying a single copy of the variant allele, *i.e.*, a heterozygous variant (QR), showed no association with asthma susceptibility. However, previous reports have shown a strong association of asthma susceptibility with a mutant allele, and variation in our results may be due to wide ethnic variation. Not many studies have been done in the Indian population to assess the association. Zhang *et al.* studied frequencies of IL-4R $\alpha$  gene in asthmatics and controls in the Indian and Chinese populations and found no significant difference in the Indian subjects

( $p > 0.05$ ), but the frequency of R576 haplotype was lower in the Chinese asthmatics than the Chinese controls ( $p = 0.046$ ; OR = 0.511). Thus, the variation in the association can be attributed to different genetic backgrounds and ethnicity.

In addition to increasing the susceptibility to the disease, we also found out that the patients with both the mutant alleles (RR) were twice as likely to have severe asthma as the patients with both the wild alleles (QQ), but this difference was found to be statistically non-significant. However, a study done by Garcia *et al.* in 2005 found no association of 576R polymorphism with asthma phenotype or with asthma severity but when they analysed 33C>T and 576Q>R polymorphism together they found that patients who carried both the T allele of -33C>TIL4 and the A allele of 576Q>RIL4RA had an increased risk of severe asthma [13]. Also, a study done by Rosa *et al.* in 1999 found that homozygosity for the R576 allele was associated with a severe asthma phenotype ( $p = 0.15$ ) [12]. The varied results in different studies may be attributed to asthma being a complex disease, and IL-4R $\alpha$  polymorphisms alone do not always influence the susceptibility or severity of the disease. The severity of the disease also depends on several other gene interactions and on other factors such as climate conditions, occupation, and compliance with the treatment, and these factors also need to be studied in further studies.

As discussed earlier, asthma is a disease characterized by a familial nature, and children of asthmatic parents are at a higher risk of developing asthma. We studied the association of 576R towards predisposing of asthma and found out that patients with a family history of asthma, carrying both the mutant variants (RR), had a 2-fold



increased susceptibility for asthma when compared to patients carrying the wild type genotype (OR=2.03, 95% CI=0.52-7.86), but this was found to be statistically insignificant. This may be due to the small sample size, as a very small number of patients with a family history of asthma were enrolled in the study.

In our study, we found that the Q576R polymorphism mutant allele (RR) was found more in female asthmatics than female controls ( $p=0.09$ ; crude OR=1.58), but it was statistically non-significant. Also, in males, the mutant allele was not found to be significantly associated amongst asthmatics and controls. But a study done by Muhsen *et al.* [14] in 2014 found that females had higher odds of significant association with asthma when genders were nested within A/A genotype or A/G-G/G genotype. We failed to find any significant association between gene polymorphism and gender. Even though the polymorphism was found more in female asthmatics than in controls, it was statistically insignificant. Ethnic variation may be the reason for this, as is the case with IL-4 polymorphism and susceptibility to asthma, explained above.

## Conclusions

We found a significant association of gene mutation increasing the susceptibility to asthma. This study can be used as a reference for future studies directly targeting the treatment according to the mutation, as personalized medicine is the future. Targeting IL-4 receptor can act as a safe and effective treatment, especially in those cases where corticosteroids cannot be given. Also, it can be tried in non-allergic forms of asthma. On the basis of our current knowledge of the differentiation of IL-5-producing TH<sub>2</sub>-like lymphocytes, this process is also dependent on IL-4 and should be susceptible to suppression by IL-4R therapy. Thus, this targeted therapy can act as the new wonder therapy for asthma.

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Informed consent: informed consent was taken from each patient.

Patient consent for publication: the patient gave their written consent to use their personal data for the publication of this case report and any accompanying images.

Availability of data and materials: all data generated or analysed during this study are included in this published article.

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