Hydrotherapy in Advanced Heart Failure: the Cardio-HKT Pilot Study

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Background: In-water exercise, hydrotherapy, may offer an attractive alternative to conventional training in markedly compromised patients with advanced HF. This Pilot Study evaluates the safety and efficacy of Cardiokinesistherapy (Cardio-HKT) in patients with advanced HF on optimal medical therapy. Cardio-HKT is a novel rehabilitation program that includes training sessions in warm water (31°C), integrated by educational and psycho-behavioural sessions to promote healthy life style modifications.

Methods: We studied 18 adult patients with advanced HF, LVEF<35%, NYHA functional class >II and peak oxygen uptake (peak VO2) <18 ml/kg/min. Cardio-HKT consisted of a 3 weeks daily in-water training, combined to educational and psycho-behavioural sessions. Patients underwent a six-minute-walking-test (6mWT), a cardiopulmonary exercise test at baseline and after 3 weeks of Cardio-HKT. Quality of life was assessed with the Minnesota Living with Heart Failure Questionnaire (MLHF).

Results: All patients completed the Cardio-HKT rehabilitation program without complications. The 6mWT improved from 453±172 m to 571±120 m (p<0.01), peak VO2 from 13.0±3.1 to 14.5±2.9 ml/kg/min (p=0.03), whereas VE/CO2 slope declined from 37±10 to 33±9 (p=0.01). MLHF markedly improved from 56 (68-27) to 18 (40-7) (p<0.01).

Conclusions: Our results support the safety and efficacy of the innovative Cardio-HKT rehabilitation program in patients with advanced HF.

Keywords: hydrotherapy, aerobic training, skeletal muscle de-conditioning, dilated cardiomyopathy, cardiopulmonary exercise test, quality of life.


Introduction

In patients (pts) with chronic heart failure (HF), physical training positively impacts on clinical outcome by improving aerobic capacity, autonomic tone, neuro-humoral abnormalities and skeletal muscle mitochondrial oxidative-enzyme activity [1-4].

With HF progression, worsening functional impairment may challenge pts ability to participate and adhere to conventional training programs. The lower peak aerobic capacity reached by advanced HF pts is mainly determined by skeletal muscle vasculature alterations that through reduced perfusion cause abnormalities in muscle metabolism and mass, further impairing functional capacity [5-11]. Frequent re-hospitalizations and recurrence of acute decompensation prolong periods of inactivity worsening HF pts functional status with additional negative impact on their ability to exercise, motivation and attitude. Exercise intolerance begets further progressive functional and self-confidence deterioration. A common finding in HF pts receiving cardiac rehabilitation treatment is psychosocial dysfunction; in particular depression has been shown to be an independent risk factor for mortality and morbidity in patients with HF [12, 13]. The drop out rate from cardiac exercise program is greatly influenced by patient motivation, training environment and selection of activities [14, 15].

Hydrotherapy, exercise in warm water, may be an attractive alternative to conventional training since its low-gravity, temperature controlled environment promotes muscle relaxation, peripheral thermal vasodilatation and assists joint movements; overall, it facilitates physical activity in patients with advanced functional impairment [16-18]. In-water immersion to the sternal notch has favourable cardiovascular, renal and neurohormonal effects in healthy subjects and in patients with HF [19-25]. Immersion increases thoracic blood volume, central venous pressure, and cardiac output; it decreases norepinephrine, epinephrine, renin, angiotensin II and aldosterone plasma levels; it promotes diuresis [26].

Hydrotherapy can be applied to patients with HF [27, 28], although its feasibility and safety remain controversial. In particular, concerns have been raised regarding the acute effects of immersion on central blood volume and systemic pressures [29-30].
Recent studies show that hydrotherapy is safe and beneficial on functional capacity in older patients with chronic HF of moderate degree [27, 28].

However, no study has investigated the effect of in-water training in patients with more advanced HF. Previous trials have shown that pts adherence can be promoted by combining exercise with specific counseling (i.e. nutritional) with a decreased rates of subsequent cardiac events and hospitalization [31]. Accordingly, we studied patients with advanced HF on optimal medical treatment to evaluate the safety and efficacy of Cardio-Hydrokinesitherapy (Cardio-HKT), an innovative rehabilitation program performed in warm water and integrated by educational and psycho-behavioural support to promote healthy life style modification and long-term compliance.

Methods

Population

Patients with advanced HF followed by the HF services at the Istituto Clinico Humanitas and at the Niguarda Ca’ Granda Hospital (Milano, Italy) were enrolled under the CARDIO-HKT Pilot Study. All patients gave written informed consent.

Entry criteria included the following: chronic HF of more than one year duration due to ischemic or non-ischemic dilated cardiomyopathy (DCM), left ventricular ejection fraction (LVEF) ≤35%, NYHA functional class ≥II, peak oxygen uptake (peak VO2) ≤18 ml/kg/min, stable clinical conditions and optimal HF treatment for at least 3 months. Optimal HF treatment included the highest tolerated dosages of angiotensin-converting enzyme inhibitors (ACEI) or angiotensin receptors blockers (ARBs) and of beta-blockers, as well as any adjunctive electrical or surgical interventions indicated for HF (i.e. cardiac resynchronization therapy with biventricular pacing, implanted cardioverter defibrillator therapy, complete revascularization in case of ischemic DCM). Willingness to take part to an intensive exercise program and a written informed consent as approved by the local Ethics Committee was also required. Exclusion criteria were: recent (within 12 weeks) hemodynamic instability, chronic renal insufficiency (creatinine >2.5 mg/dL), presence of active infection or neoplastic disease.

Facilities

The Cardio - HKT Pilot Study was performed in an outpatient setting at a state-of-the-art rehabilitation facility located at Santa Corona Hospital (Pietra Ligure, Italy). The swimming pool, present in the Hospital facility, had a ~ 80 m3 capacity, with different depths (from 90 cm to 200 cm), and special access ramps (internal and external). Seating and hydro-massage geyser spots helped muscle relaxation during the warm-up and recovery phases. Two separate “walking pools” or corridors with a rapid system to modify water depth and temperature were also available. Water temperature was maintained constant at 31.0-32.0°C. Patient safety was ensured by a) a safe environment with emergency exit easily accessible from the swimming pool and related facilities; b) continuous supervision by a specialized team composed by one HF Cardiologist, one BLS/ACLS certified Cardiology Nurse, two Rehabilitation Therapists and one Nurse Aid; c) constant heart rate (HR) monitoring by wrist HR recorder; and d) standing emergency cart equipped with an automated external defibrillator. The hosting Institution provided patient accommodation in a guest-house located nearby.

The CARDIO-HKT training program

The training program consisted in 2 in-water sessions per day, 5 days a week for a 3-weeks period. Patients were divided into 4 groups of 4 to 5 pts each. Daily activities were scheduled from 9 AM to 5 PM, Monday to Friday and from 9 AM to 12 PM on Saturday (figure 1). Immersion time followed a stepwise progression from 30 to 50 minutes; each session consisted of 3 phases: a) warm up, b) training and c) recovery. Heart rate and modified Borg scale score were checked every two minutes during these phases. Oxygen saturation and blood pressure were monitored before and after exercise.

Patients then rested from physical activity for at least 4 hours. During these breaks, educational and psycho-behavioural support sessions were provided by the Cardiology Nurse and the HF Cardiologist to promote healthy life style changes and enhance adherence to the daily physical activity, weight control and low salt diet.

During the Cardio HKT program all pts followed a supervised low salt, “Mediterranean” type diet rich in polyunsaturated fatty acids.

Overall, the 3 weeks Cardio-HKT training program can be summarized in two phases: the “Adaptation Phase” and the “Tailored Training Phase” (figure 2).

In the 1st week, the “Adaptation Phase” aimed to promote:

1. Adaptation to the water environment. Relaxing exercises with floating devices and whirlpool massage allowed patients to accustom to immersion and relax regarding their exercise performance.

2. Identification of low-workload exercises (Calisthenics), performed in immersion at the level of the sternal notch. These low-workload exercises, allowing to comfortably achieve the HR corresponding to 50% of peak oxygen uptake (VO2), facilitate peripheral muscles reconditioning.

3. Identification of preferential activities (i.e. swimming or in-water walking) at which each patient could reach and maintain the HR Target Range (HRTR = HR at 60-70% of peak VO2 or 10 beats below the HR at the anaerobic threshold).

In the 2nd and 3rd week, the “Tailored Training Phase” focused on:

1. Well-tolerated aerobic training within the HRTR for at least 20 min. Different intensity and duration of exercise, and combination of lower and upper limb exercises were used to maintain HRTR for the entire training phase.
minal pro B-type natriuretic peptide; d) 12 lead electrocardiogram; e) two-dimensional, M-mode and Doppler echocardiography; e) 6 minute walking test (6MWT), f) cardiopulmonary exercise testing (CPET), and g) quality of life assessment.

**NATRIURETIC PEPTIDE**

During the baseline evaluation session and at the end of the 3-weeks Cardio-HKT program patients underwent venesection for serum N-Terminal pro-Brain Natriuretic Peptide (NT proBNP) analyzed using Elecsys 2010 (Roche Diagnostic, Germany) [32].

**ECHOCARDIOGRAPHY**

M-mode and two-dimensional echocardiographic studies were performed at baseline and at the end of the 3-weeks Cardio-HKT program according to the recommendations of the American Society of Echocardiography [33] using a commercially available echocardiograph (Hewlett Packard, USA). Left ventricular end-diastolic volume (LV EDV) and end-systolic volume (LV ESV) were obtained from the apical 4- and 2- chamber views by a modified Simpson’s rule, from which ejection fraction was automatically calculated as the difference between LV EDV and LV ESD normalized to LV EDV.

**SIX-MINUTE WALKING TEST (6MWT)**

Patients were asked to walk as far as possible during 6 minutes while HR was monitored using a short-range radio telemetry (Sport-Tester, Polar Electro Oy, Kempele, Finland). Perceived exertion and rate of dyspnea was quantified using a modified Borg scale [34, 35]. All patients were familiar to the test as they performed it at least once in the past.

**CARDIOPULMONARY EXERCISE TESTING (CPET)**

Patients biked on an electronically braked cyclo-ergometer (Rehcor Lode) while wearing a nose clip and breathing through the mass flow sensor (dead space, 75 ml). ECG was continuously monitored. The Oxygen uptake ($V_O2$) and carbon dioxide output ($VCO2$) were measured breath by breath through rapid gas analyzers (Vmax, SensorMedics, USA). After a 3-min resting measurement, the exercise
load was increased by 10 W every min, maintaining the cycling rate from 50 to 60 rpm, until the imposed load could no longer be sustained. All patients were familiar with the test having performed it at least once as part of their previous HF evaluation. Ventilatory efficiency was assessed by calculating the slope of increasing minute ventilation (VE) versus VCO₂. The VE/VCO₂ slope was measured by linear regression. The nonlinear part of the data after the onset of ventilatory compensation for metabolic acidosis was excluded [36].

**Quality of Life Assessment**

Quality of life was assessed using the Minnesota Living with Heart Failure Questionnaire (MLHF) [37].

**Statistical Analysis**

Student’s paired t-test was used to analyze differences between groups. Mann-Whitney U test was also used for non-parametric analysis. P < 0.05 was considered statistically significant. Functional data were expressed as mean±SD, unless otherwise indicated.

**Results**

Patient characteristics at baseline are summarized in table 1.

The Cardio-HKT program was well tolerated. All patients completed the program without complications. One patient did not qualify for CPET because unable to complete the test properly due to difficulties in breathing through the mass flow sensor. No adverse events were observed, including no shocks from implantable cardioverter-defibrillator and no symptomatic arrhythmias. Results are summarized in table 2. Increased physical activity and improved adherence to low-sodium diet likely explain the significant reduction in BMI. Resting HR, systolic and diastolic blood pressure before and after the training session did not change at the end of the three weeks program. Echocardiographic measurements of left ventricular dimensions and ejection fraction did not show any significant variation.

**Effect on Functional Capacity**

**Six-Minute Walking Test (6MWT)**

Walked distance increased from 453±172 m to 571±120 meter (p<0.01) after the 3-weeks Cardio-HKT program. Borg scale did not change with a median value of 3.3 (4.9-2.6) before and 3.5 (4.8-2.6) (p=0.97) after the 3-weeks Cardio-HKT program.

**Cardiopulmonary Exercise Testing (CPET)**

Peak VO₂ increased from 13.0±3.1 to 14.5±2.9 ml/kg/min (p=0.03) after the 3-weeks Cardio-HKT program. Concurrently, VE/VCO₂ slope declined from 37±10 to 33±9 (p<0.01). VO₂ at the anaerobic threshold (VO₂@AT) and the respiratory exchange ratio did not show any statistically significant variation.

**Effect on Quality of Life**

The MLHF Total Score improved from a median value (upper-lower quartiles) of 56 (68-27) to 18 (40-7) (p<0.01) after the 3-weeks Cardio-HKT program.

**Effect on Neurohormonal Parameters**

After the 3 weeks training program NTproBNP levels were similar (2299±1903 vs 3283±4037 pg/ml (p=0.31), without any correlation with functional data.

**Discussion**

Our study shows that Cardio-HKT program is safe and effective in patients with advanced HF. We found that 3-weeks in-water training significantly improves functional capacity and quality of life in patients with advanced HF.

Our results are in agreement with recent reports showing that in-water exercise may positively affect functional capacity and quality of life of HF patients [27, 28]. However, these studies enrolled older patients with chronic HF of milder degree (as defined by a functional class NYHA not greater than III and by left ventricular mean ejection fraction ≥ 32%). Our findings broaden the applications of hydrotherapy programs to patients with more advanced cardiac and functional impairment.

The present Pilot Study addresses concerns raised by other investigators [29, 30] regarding safety of in-water exercise in patients with HF: Cardio-HKT program was safe and very well tolerated. Continuous supervision, patient reassurance and frequent monitoring of vital signs during exercise likely contributed to minimize the incidence of adverse events.

**Effect on Functional Parameters**

In advanced heart failure, physical inactivity promotes de-conditioning, a widespread phenomenon involving not only respiratory and skeletal muscles but also the entire peripheral circulation. Since exercise intolerance in HF is a consequence of both skeletal muscle and cardiovascular dysfunction, improving peripheral muscle function in these pts represents a target as important as reversing the cardiovascular alterations [6-8]. The lower peak aerobic capacity reached by advanced HF pts is mainly determined by skeletal muscle vasculature alterations that through reduced perfusion cause abnormalities in muscle metabolism and mass, further impairing their functional capacity [1-5]. Patients may avoid walking and climbing stairs as HF progresses, and these behavioural changes result in more pronounced muscular alterations of the lower limbs when compared to the upper limbs [38].

In-water exercise may ease reconditioning particularly of the lower limbs muscles, since low-gravity facilitates physical activity by minimizing effort thereby assisting movements and allowing effective aerobic training needed to favor recovery of vascular abnormalities. Thermal-induced vasodilatation
Table 1. - Baseline Patient Characteristics

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<th>Age yrs</th>
<th>NYHA</th>
<th>BMI kg/ m²</th>
<th>LV EF %</th>
<th>SBP mmHg</th>
<th>Peak VO₂ ml/kg/min</th>
<th>Peak VO₂ %</th>
<th>VO₂@ AT ml/kg/min</th>
<th>VE/VCO₂ slope</th>
<th>O₂ pulse, ml/beat</th>
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<th>[Na+] mmol/l</th>
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Gender: ms: male; f: female; BMI: Body Mass Index; LV-EF Left Ventricular Ejection Fraction; SBP: Systolic Blood Pressure; Peak VO₂: Peak Oxygen Uptake; VO₂@ AT: VO₂ at Anaerobic Threshold; VE/VCO₂: Minute ventilation/Carbon Dioxide Output Slope; O₂ pulse: Oxygen pulse; MLHF, Minnesota Living with Heart Failure Heart Questionnaire, expressed as median (upper-lower quartile); PM/ICD, Pacemaker/Implantable Cardioverter Defibrillator; CRT: Cardiac Resynchronization Therapy; CRT-D: CRT +ICD; [Na+] Serum Sodium; NTproBNP: N-Terminal pro-Brain Natriuretic Peptide.
and hydro-massage promote muscular and psychological relaxation and exercise training beneficially affects systemic inflammation and neuro-hormonal activation observed in advanced HF stage [39], thereby reinforcing the action of the standard pharmacotherapy.

In Cardio-HKT participants, the improvements in 6MWT were more impressing than those observed during CPET, implying that motivation might have played an important role in improving pts performance. Immersions in a low-gravity environment may not only facilitate re-conditioning prior to aerobic training, but also promote patient relaxation and sense of well being that in turn may have fostered their motivation.

We are aware that a 3-weeks training period may be not long enough to achieve a durable result or to determine any significant left ventricular reverse remodeling (i.e. detectable by echocardiography); in the meanwhile we have to acknowledge its positive impact on pts motivation through a significant well being improvement.

**Effect on Quality of Life**

We found that the most beneficial effect of our Cardio-HKT program was the improvement in QOL. Supervised in-water exercise facilitates re-conditioning and it may be more effective than conventional training in promoting patient reassurance and relaxation.

Quality of life rather than survival per se should be considered as a more desirable and realistic long-term target in optimally treated patients with advanced HF.

**Effect on Neurohormonal Parameters**

Natriuretic peptides (B-type natriuretic peptide and NT-proBNP) plasma levels increase in response to cardiac wall stretch, volume expansion and neurohormonal activation and have a growing role in HF diagnosis and its prognostic stratification [40, 41]. They have been associated to impaired left ventricular systolic function and reduced exercise capacity in pts with HF [42-44]. In our study we did not found differences in NT-proBNP levels before and after treatment and no correlation with functional data.

Further studies are needed, involving a larger advanced HF pts group with a more homogenous pharmacological treatment since neurohormonal drugs, as ACE-I, ARBs and diuretics may affect circulating level of cardiac natriuretic peptides.

### Table 2. - Differences in measurements from baseline to 3 weeks. Effect of Cardio-HKT program on clinical, functional, neuro-hormonal parameters and quality of life

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<tbody>
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<td>26.7±6.5</td>
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<td>6MWT (m)</td>
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<td>571±120</td>
<td><strong>&lt;0.01</strong></td>
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<td>1153±391</td>
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<tr>
<td>VO₂/weight (ml/kg/min)</td>
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<td>14.5±2.9</td>
<td>*0.03</td>
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<td>VO₂@AT (ml/kg/min)</td>
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<td>VE/VO₂ slope</td>
<td>37±10</td>
<td>33±9</td>
<td><strong>&lt;0.01</strong></td>
</tr>
<tr>
<td>O₂ pulse (ml/beat)</td>
<td>9±4</td>
<td>9±4</td>
<td>NS</td>
</tr>
<tr>
<td>Work load (W)</td>
<td>86.2±29.1</td>
<td>93.1±30.0</td>
<td>NS</td>
</tr>
<tr>
<td>MLHF (median T-score)</td>
<td>56 (68-27)</td>
<td>18 (40-7)</td>
<td><strong>&lt;0.01</strong></td>
</tr>
<tr>
<td>NT proBNP (pg/ml)</td>
<td>2299±1903</td>
<td>3283±4037</td>
<td>NS</td>
</tr>
<tr>
<td>Serum Sodium (mmol/L)</td>
<td>137±4</td>
<td>136±4</td>
<td>NS</td>
</tr>
</tbody>
</table>

Data are shown as mean values (±SD) or median. Statistical significance: *p<0.05, **p<0.01, ***p<0.001 versus baseline values. Baseline and Final refer to measures obtained prior and after the 3-weeks Cardio-HKT program.

### Conclusions

In conclusion, our findings demonstrate that the innovative, continuously supervised in-water Cardio-HKT program is safe and significantly improves functional capacity and quality of life in patients with advanced HF. The present Cardio-HKT Pilot Study also shows that peripheral rather than cardiac effects may be responsible for these improvements.

Future randomized trials will address whether in-water Cardio-HKT program is superior to conventional exercise programs in patients with advanced HF.

### Riassunto

Lo studio pilota Cardio-HKT, Cardio-Idrokinesiterapia, si propone di dimostrare i vantaggi di un innovativo protocollo di esercizi riabilitativi aerobici condotti in acqua riscaldata a 31°C nell'ambito di un programma integrato da supporto psicocomportamentale e sessioni educazionali per il miglioramento dello stile di vita di pazienti con insufficienza cardiaca avanzata (ICA).

Metodi: 18 pz con ICA stabile in terapia ottimizzata, classe funzionale NYHA <II, frazione d’eiezione 27±7%, consumo di ossigeno di picco (pVO₂) <18 ml/kg/m, hanno partecipato all’innovativo programma pilota Cardio-HKT per 3 settimane in 2 sessioni quotidiane di training personalizzato ad intensità progressiva e con supervisione continua. La frequenza cardiaca allenante (FCA) corrispondente al 60-70% della pVO₂ rappresentava il target da raggiungere e mantenere per garantire lo svolgimento di un’attività aerobica sottomassimale.

Risultati: Tutti i pz sono stati in grado di portare a termine gli esercizi programmati senza complicanze. La valutazione funzionale ha mostrato un miglioramento del six minutes walking test da 453±172 m a 571±120 m (p<0.01), e del pVO₂ da 13.0±3.1 a 14.5±2.9 ml/kg/min (p=0.03). Inoltre il punteggio finale del questionario sulla qualità di vi-
ta, Minnesota Living with Heart Failure Questionnaire, è migliorato da 56 (68-27) a 18 (40-7) (p<0.01).

Conclusioni: Per la prima volta in pazienti con ICA un’esperienza di attività fisica in acqua a temperatura controllata si è dimostrata fattibile, priva di eventi avversi ed in grado di migliorare la capacità funzionale e la qualità di vita.

Parole chiave: idroterapia, training aerobico, decondizionamento muscolare periferico, cardio-miopatia dilatativa, test cardiopolmonare, qualità di vita.

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ABBREVIATIONS/ACRONYMS LIST

- HF = Heart Failure
- Cardio-HKT = Cardio-Hydrokinesthesitherapy
- LVEF = Left Ventricular Ejection Fraction
- ACEI = Angiotensin-Converting Enzyme Inhibitors
- ARBs = Angiotensin Receptors Blockers
- BMI = Body Mass Index
- NT proBNP = N-Terminal pro B-type Natriuretic Peptide
- 6MWT = 6 Minute Walking Test
- CPET = Cardiopulmonary Exercise Testing
- QOL = Quality of Life
- LV EDV = Left Ventricular End-Diastolic Volume
- LV ESV = Left Ventricular End-Systolic Volume
- HR = Heart Rate
- pVO2 = Peak Oxygen Uptake
- V̇O2 = Oxygen uptake
- V̇CO2 = Carbon dioxide output
- VE = Minute Ventilation
- MLHF = Minnesota Living with Heart Failure Questionnaire

References


